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### General information about company

Scrip code	542759
NSE Symbol	SPANDANA
MSEI Symbol	NOTLISTED
ISIN	INE572J01011
Name of the entity	Spandana Sphoorty Financial Limited
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Half Yearly
Date of Report	30-09-2021
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

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**Enter the quarter  
ended date only**

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Add Notes

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Deepak Callan Vaidya	AADPV1542M	00337276	Non-Executive - Independent Director	Chairperson		09-01-1945	Yes	30-07-2019	06-06-2018	30-07-2019		40	3	2	5	1		
2	Mrs	Padmaja Gangireddy	AE2PG1437L	00004842	Executive Director	Not Applicable	MD	14-01-1967	NA		19-04-2003	19-04-2019			1	0	1	0		
3	Mr	Jagdish Kapoor	AACPC3294L	00002516	Non-Executive - Independent Director	Not Applicable		01-07-1939	Yes	11-07-2019	06-06-2018	11-07-2019		40	3	3	3	1		
4	Mr	Bharat Dhirajlal Shah	AADPS4345K	00136969	Non-Executive - Independent Director	Not Applicable		18-02-1947	Yes	28-09-2021	13-04-2018	28-09-2021		42	4	4	6	1		
5	Mrs	Abanti Mitra	AKEPM7995C	02305893	Non-Executive - Independent Director	Not Applicable		08-07-1978	NA		04-05-2017	04-05-2017		53	1	1	3	2		
6	Mr	Ramachandra Kawargod Kamath	AESP8250N	01715073	Non-Executive - Nominee Director	Not Applicable		19-11-1955	NA		04-05-2017	28-09-2021			3	0	3	0		
7	Mr	Amit Sobti	AAMP51644J	07795874	Non-Executive - Nominee Director	Not Applicable		04-03-1979	NA		29-05-2017	30-09-2020			1	0	0	0		
8	Mr	Kartikaya Dhruv Kaji	BDT9K0645Q	07641723	Non-Executive - Nominee Director	Not Applicable		22-03-1983	NA		31-03-2017	28-09-2021			2	0	5	0		
9	Mr	Sunish Sharma	ATEPS8733M	00274432	Non-Executive - Nominee Director	Not Applicable		25-10-1974	NA		31-03-2017	30-09-2020			1	0	0	0		

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**Annexure 1**

**B. Composition of Committees**

For this quarter kindly note the following points:  
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filed for every Committee.  
 2. Date of Appointment can be any day upto September 30, 2021.  
 3. Date of Cessation must be for the current quarter only, i.e. July 1, 2021 to September 30, 2021

Disclosure of notes on composition of committees explanatory

[Add Notes](#)

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be populated automatically.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02305803	Ashmit Mitra	Non-Executive - Independent Director	Chairperson	14-06-2018		
2	00136560	Bharat Dhirajlal Shah	Non-Executive - Independent Director	Member	15-05-2018		
3	00337276	Deepak Caltan Vaidya	Non-Executive - Independent Director	Member	14-11-2018		
4	00005136	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
5	02545723	Karthika Dhruv Kaji	Non-Executive - Nonview Director	Member	14-11-2018		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be populated automatically.

Nomination and remuneration Committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00136560	Bharat Dhirajlal Shah	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	02305803	Ashmit Mitra	Non-Executive - Independent Director	Member	15-06-2017		
3	02545723	Karthika Dhruv Kaji	Non-Executive - Nonview Director	Member	15-06-2017		
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be populated automatically.

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02305803	Ashmit Mitra	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	00005136	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
3	00337276	Deepak Caltan Vaidya	Non-Executive - Independent Director	Member	14-11-2018		
4	02325073	Bharatshandra Kaungal Karmath	Non-Executive - Nonview Director	Member	14-11-2018		
5	02545723	Karthika Dhruv Kaji	Non-Executive - Nonview Director	Member	15-05-2018		
6							
7							
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**Risk Management Committee**

Whether the Risk Management Committee has a Regular Chairperson							
					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02325073	Bharatshandra Kaungal Karmath	Non-Executive - Nonview Director	Chairperson	15-05-2018		
2	00136560	Bharat Dhirajlal Shah	Non-Executive - Independent Director	Member	15-05-2018		
3	00005136	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
4	02545723	Karthika Dhruv Kaji	Non-Executive - Nonview Director	Member	15-05-2018		
5							
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7							
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9							
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be populated automatically.

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02325073	Bharatshandra Kaungal Karmath	Non-Executive - Nonview Director	Chairperson	25-03-2017		
2	00006462	Parthiva Gopalwade	Executive Director	Member	25-07-2017		
3	02305803	Ashmit Mitra	Non-Executive - Independent Director	Member	25-07-2017		
4	00274432	Suresh Sharma	Non-Executive - Nonview Director	Member	09-03-2021		
5	00337276	Deepak Caltan Vaidya	Non-Executive - Independent Director	Member	09-03-2021		
6							
7							
8							
9							
10							

**Other Committee**

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
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Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (other than Independent Director)	No. of Independent Directors attending the meeting*
	<a href="#">Add</a> <a href="#">Delete</a>					
1	22-05-2021			Yes		
2	14-08-2021	83		Yes	9	4

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\* to be filled in only for the current quarter meetings

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Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (other than Independent Director)	No. of Independent Directors attending the meeting*
	<a href="#">Add</a>	<a href="#">Delete</a>						
1	Audit Committee	22-05-2021				Yes	5	4
2	Audit Committee	14-08-2021	83			Yes	5	4
3	Nomination and remuneration committee	21-05-2021				Yes	3	2
4	Nomination and remuneration committee	14-08-2021	84			Yes	3	2
5	Stakeholders Relationship Committee	21-05-2021				Yes	4	2
6	Stakeholders Relationship Committee	11-08-2021	81			Yes	4	2
7	Risk Management Committee	21-05-2021				Yes	4	2
8	Risk Management Committee	14-08-2021	84			Yes	4	2
9	Corporate Social Responsibility Committee	21-05-2021				Yes	4	1
10	Corporate Social Responsibility Committee	11-08-2021	81			Yes	4	1

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\* to be filled in only for the current quarter meetings

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Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			<a href="#">Add Notes</a>
Disclosure of notes of material transaction with related party			<a href="#">Add Notes</a>

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Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 500 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<a href="#">Add Notes</a>

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Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Ramesh Periasamy
2	Designation	Company Secretary and Compliance Officer



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Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				<a href="#">Add Notes</a>

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1	Name of signatory	Ramesh Periasamy
2	Designation	Company Secretary and Compliance Officer

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Additional Half yearly Disclosure			
Applicability of disclosure	Applicable		
Reason for Non Applicability	<a href="#">Add Notes</a>		
<b>I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below</b>			
<b>(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to</b>			
<b>Entity</b>	<b>Aggregate amount advanced during six months</b>	<b>Balance outstanding at the end of six months</b>	
Promoter or any other entity controlled by them	93000000.00	81600000.00	
Promoter Group or any other entity controlled by them	0.00	0.00	
Directors (including relatives) or any other entity controlled by them	0.00	0.00	
KMPs or any other entity controlled by them	0.00	0.00	
<b>(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By</b>			
<b>Entity</b>	<b>Type (guarantee, comfort letter etc.)</b>	<b>Aggregate amount of issuance during six months</b>	<b>Balance outstanding at the end of six months(taking into account any invocation)</b>
Promoter or any other entity controlled by them	NA	0.00	0.00
Promoter Group or any other entity controlled by them	NA	0.00	0.00
Directors (including relatives) or any other entity controlled by them	NA	0.00	0.00
KMPs or any other entity controlled by them	NA	0.00	0.00
<b>(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by</b>			
<b>Entity</b>	<b>Type of security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
Promoter or any other entity controlled by them	NA	0.00	0.00
Promoter Group or any other entity controlled by them	NA	0.00	0.00
Directors (including relatives) or any other entity controlled by them	NA	0.00	0.00
KMPs or any other entity controlled by them	NA	0.00	0.00
<b>(D) Additional Information</b>			<a href="#">Add Notes</a>
<b>II. Affirmations</b>			
<b>Affirmations</b>		<b>Compliance Status</b>	<b>Company Remarks</b>
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s),		Yes	<a href="#">Add Notes</a>
Name	Padmaja Gangireddy		
Designation	CEO		
Place	Hyderabad		
Date	20-10-2021		

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### Signatory Details

Name of signatory	Ramesh Periasamy
Designation of person	Company Secretary and Compliance Officer
Place	Hyderabad
Date	20-10-2021

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