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General information about company

Scrip code	542759
NSE Symbol	SPANDANA
MSEI Symbol	NOTLISTED
ISIN	INE572J01011
Name of the entity	Spandana Sphoorty Financial Limited
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Half Yearly
Date of Report	30-09-2022
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Enter the quarter
ended date only

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Annexure I
Annexure I to be submitted by listed entity on quarterly basis
I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory		Add Notes																								
Whether the listed entity has a Regular Chairperson		Yes																								
Whether Chairperson related to Promoter		No																								
Disqualification of Directors under section 164 of the Companies Act, 2013																										
Sr	Title (Mr./Ms.)	Name of the Director	PAN	DN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Refer Reg. 17(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship held including the listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including the listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (including the listed entity) (Refer Regulation 17(1)(c) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held (including the listed entity) (Refer Regulation 28(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN	
Add		Cancel																								
1	Mr.	Ramji Mitra		03709893	Non-Executive - Independent Director	Chairman		08-07-1974	No				Active	NA		06-01-2017	06-01-2017		01.00	2	2	2	2			
2	Mr.	Chiranjit Lahiri DebRoy		00372276	Non-Executive - Independent Director	Not Applicable		09-02-1945	No				Active	Yes	30-07-2019	06-06-2018	30-07-2019		32.00	3	2	6	1			
3	Mr.	Jagdish Kapoor		00005116	Non-Executive - Independent Director	Not Applicable		01-07-1959	No				Active	Yes	11-07-2019	06-06-2018	11-07-2019		32.00	1	1	2	0			
4	Mr.	Rhansit Chitrakal Shah		00136969	Non-Executive - Independent Director	Not Applicable		18-02-1947	No				Active	Yes	18-09-2011	13-04-2018	28-09-2011		16.00	4	4	5	1			
5	Mr.	Arvindh Chhabhan		00069467	Non-Executive - Independent Director	Not Applicable		30-06-1967	No				Active	NA		04-08-2022	04-08-2022		1.00	1	1	4	1			
6	Mr.	Padmak Ganguly		00064862	Non-Executive - Non Independent Director	Not Applicable		14-01-1967	No				Active	NA		19-04-2003	19-04-2019			1	0	1	0			
7	Mr.	RatnaChandra K Kuvempudi Kuvempudi		01710073	Non-Executive - Nominee Director	Not Applicable		19-11-1955	No				Active	NA		04-05-2017	28-09-2017			3	0	4	1			
8	Mr.	Suresh Shourie		00274482	Non-Executive - Nominee Director	Not Applicable		25-10-1974	No				Active	NA		11-03-2017	30-09-2000			2	0	0	0			
9	Mr.	Vaishya Dsouza Kaj		07641723	Non-Executive - Nominee Director	Not Applicable		27-03-1981	No				Active	NA		11-03-2017	28-09-2011			2	0	5	0			
10	Mr.	Nitesh Sagaroo		00041170	Non-Executive - Nominee Director	Not Applicable		15-07-1958	No				Active	NA		04-08-2022	04-08-2022			1	0	2	1			
11	Mr.	Shubho Sena		0808237	Executive Director	Not Applicable	CEO-MD	26-05-1971	No				Active	NA		19-03-2022	19-03-2022			1	0	1	0			
12	Mr.	Anil Saha		07799874	Non-Executive - Nominee Director	Not Applicable		04-03-1979	No				Active	NA		28-05-2017	30-09-2020	21-09-2021			1	0	0	0		

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Add Notes

For this quarter kindly note the following points:
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
 2. Date of Appointment can be any day upto September 30, 2022.
 3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to September 30,2022

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02305893	Abanti Mitra	Non-Executive - Independent Director	Chairperson	14-06-2018		
2	00136969	Bharat Dhirajjal Shah	Non-Executive - Independent Director	Member	15-05-2018		
3	00337276	Deepak Calian Vaidya	Non-Executive - Independent Director	Member	14-11-2018		
4	00002516	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
5	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	14-11-2018		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00136969	Bharat Dhirajjal Shah	Non-Executive - Independent Director	Chairperson	15-08-2018		
2	02305893	Abanti Mitra	Non-Executive - Independent Director	Member	15-06-2017		
3	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	15-06-2017		
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02305893	Abanti Mitra	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	00002516	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
3	00337276	Deepak Calian Vaidya	Non-Executive - Independent Director	Member	14-11-2018		
4	01715073	Ramachandra Kasargod Kamath	Non-Executive - Nominee Director	Member	14-11-2018		
5	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	15-05-2018		
6							
7							
8							
9							
10							

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01715073	Ramachandra Kasargod Kamath	Non-Executive - Nominee Director	Chairperson	15-05-2018		
2	00136969	Bharat Dhirajjal Shah	Non-Executive - Independent Director	Member	15-05-2018		
3	00002516	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
4	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	15-05-2018		
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01715073	Ramachandra Kasargod Kamath	Non-Executive - Nominee Director	Chairperson	25-07-2017		
2	00004842	Padmaja Gangireddy	Non-Executive - Non Independent Director	Member	25-07-2017		
3	02305893	Abanti Mitra	Non-Executive - Independent Director	Member	25-07-2017		

4	00274432	Sunish Sharma	Non-Executive - Nominee Director	Member	09-02-2021		
5	00337276	Deepak Calian Vaidya	Non-Executive - Independent Director	Member	09-02-2021		
6							
7							
8							
9							
10							

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
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Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
	Add	Delete					
1	30-05-2022			Yes	10	9	4
2	11-07-2022	41		Yes	10	9	4
3	04-08-2022	23		Yes	12	9	4

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* to be filled in only for the current quarter meetings

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors (All directors including Independent director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
Add Delete										
1	Audit Committee	23-05-2022				Yes	10	5	4	8
2	Audit Committee	07-07-2022	44			Yes	10	5	4	7
3	Audit Committee	11-07-2022	3			Yes	10	5	4	6
4	Audit Committee	04-08-2022	23			Yes	12	5	3	8
5	Nomination and remuneration committee	23-05-2022				Yes	10	3	2	2
6	Nomination and remuneration committee	11-07-2022	48			Yes	10	3	2	2
7	Nomination and remuneration committee	04-08-2022	23			Yes	12	3	2	2
8	Stakeholders Relationship Committee	23-05-2022				Yes	10	5	3	2
9	Stakeholders Relationship Committee	04-08-2022	72			Yes	12	5	2	5
10	Risk Management Committee	07-07-2022				Yes	10	4	2	5
11	Risk Management Committee	04-08-2022	27			Yes	12	4	2	6
12	Corporate Social Responsibility Committee	23-05-2022				Yes	10	5	2	3
13	Corporate Social Responsibility Committee	04-08-2022	72			Yes	12	5	1	7

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* to be filled in only for the current quarter meetings

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Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

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Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Ramesh Periasamy
2	Designation	Company Secretary and Compliance Officer

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Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				Add Notes

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1	Name of signatory	Ramesh Periasamy
2	Designation	Company Secretary and Compliance Officer

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Additional Half yearly Disclosure			
Applicability of disclosure	Applicable		
Reason for Non Applicability	Add Notes		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below		The Figure should be mentioned in Actual INR only	
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	2122570876.00	2096766129.00	
Promoter Group or any other entity controlled by them	0.00	0.00	
Directors (including relatives) or any other entity controlled by them	0.00	0.00	
KMPs or any other entity controlled by them	0.00	0.00	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	guarantee	0.00	563620893.97
Promoter Group or any other entity controlled by them	NA	0.00	0.00
Directors (including relatives) or any other entity controlled by them	NA	0.00	0.00
KMPs or any other entity controlled by them	NA	0.00	0.00
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NA	0.00	0.00
Promoter Group or any other entity controlled by them	NA	0.00	0.00
Directors (including relatives) or any other entity controlled by them	NA	0.00	0.00
KMPs or any other entity controlled by them	NA	0.00	0.00
(D) Additional Information			Add Notes
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s),		Yes	Add Notes
Name	Ashish Damani		
Designation	CFO		
Place	Hyderabad		
Date	21-10-2022		

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Signatory Details

Name of signatory	Ramesh Periasamy
Designation of person	Company Secretary and Compliance Officer
Place	Hyderabad
Date	21-10-2022

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